Existence and Absence of Non-Linear Effects in Photonic Topological Insulators

in collaboration with Giuseppe De Nittis & Maxime Gazeau

Max Lein 2015.10.06@AIMR

Candidate State

Invariance of Bloch bundle

Periodic Light Conductors

Photonic Crystals

Johnson & Joannopoulos (2004)



Periodic Waveguide Arrays

Rechtsman, Szameit et al (2013)



- periodic structure
 peculiar light conduction properties
- artificial PLCs can be engineered arbitrarily and inexpensively
- "band structure engineering"
 - ~ photonic band gaps, slow light, low-dispersion materials
- natural photonic crystals: gem stones, beetle shells, butterfly wings

A Novel Class of Materials: Photonic Topological Insulators

Theory

Predicted by

- Onoda, Murakami and Nagaosa (2004)
- Raghu and Haldane (2005)

Experiment

... and realized in

- 2d photonic crystals for microwaves by Joannopoulos, Soljačić et al (2009)
- periodic waveguide arrays for light at optical frequencies by Rechtsman, Szameit et al (2013)

A Novel Class of Materials: Photonic Topological Insulators

$$\begin{pmatrix} \overline{\varepsilon} & 0\\ 0 & \overline{\mu} \end{pmatrix} \neq \begin{pmatrix} \varepsilon & 0\\ 0 & \mu \end{pmatrix}$$
symmetry breaking



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- Realize many effects for light at **optical** frequencies.
 ~ Necessary for integration with optical devices
- 2 Rely as much as possible on **ordinary** materials.
 ~> Ordinary materials in non-trivial topological class!

Include non-linear effects.

Should be particularly strong in topological edge modes (remain localized!)

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Long-term goal: Prove existence of topological solitons

Problem: Linear case not fully understood.

- Find candidates for topologically non-trivial modes which exhibit appreciable non-linear effects.
- ② Find a mathematical formulation of "Topological phenomena persist in the presence of non-linearities with the same symmetries as the linear system."

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Talk Based on

Collaboration with Giuseppe De Nittis

• On the Role of Symmetries in the Theory of Photonic Crystals Annals of Physics **350**, pp. 568–587, 2014

and Maxime Gazeau

• Existence and Absence of Non-Linear Effects in Photonic Topological Insulators in preparation, 2015

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Non-Linear PhCs

Candidate States

Invariance of Bloch bundle

Part 1 Linear Photonic Topological Insulators

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Johnson & Joannopoulos (2004)

Assumption (Material weights)

$$W_1(\mathbf{x}) = \begin{pmatrix} \varepsilon(\mathbf{x}) & \chi(\mathbf{x}) \\ \chi(\mathbf{x})^* & \mu(\mathbf{x}) \end{pmatrix}$$

$$W_1^* = W_1 \ (lossless)$$

- ② 0 < c 1 ≤ W₁ ≤ C 1 (excludes negative index mat.)
- 3 W₁ frequency-independent (response instantaneous)

④ W
$$_1$$
 periodic wrt lattice $\Gamma\simeq\mathbb{Z}^3$



Johnson & Joannopoulos (2004)

Maxwell equations Dynamical equations

$$\begin{pmatrix} \varepsilon & \chi \\ \chi^* & \mu \end{pmatrix} \frac{\partial}{\partial t} \begin{pmatrix} \mathbf{E} \\ \mathbf{H} \end{pmatrix} = \begin{pmatrix} +\nabla \times \mathbf{H} \\ -\nabla \times \mathbf{E} \end{pmatrix}$$

Absence of sources

$$\begin{pmatrix} \mathsf{div} & 0 \\ 0 & \mathsf{div} \end{pmatrix} \begin{pmatrix} \varepsilon & \chi \\ \chi^* & \mu \end{pmatrix} \begin{pmatrix} \mathbf{E} \\ \mathbf{H} \end{pmatrix} = 0$$

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Dynamical equations

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Express Maxwell equations in terms of $\begin{pmatrix} \mathbf{D} \\ \mathbf{B} \end{pmatrix} = \begin{pmatrix} \varepsilon & \chi \\ \chi^* & \mu \end{pmatrix} \begin{pmatrix} \mathbf{E} \\ \mathbf{H} \end{pmatrix}$

Dynamical equations

$$\frac{\partial}{\partial t} \begin{pmatrix} \mathbf{D} \\ \mathbf{B} \end{pmatrix} = \begin{pmatrix} 0 & +\nabla^{\times} \\ -\nabla^{\times} & 0 \end{pmatrix} \begin{pmatrix} \varepsilon & \chi \\ \chi^{*} & \mu \end{pmatrix}^{-1} \begin{pmatrix} \mathbf{D} \\ \mathbf{B} \end{pmatrix}$$

Absence of sources

$$\operatorname{Div}\begin{pmatrix}\mathbf{D}\\\mathbf{B}\end{pmatrix}=0$$

Define Div :=
$$\begin{pmatrix} \operatorname{div} & 0 \\ 0 & \operatorname{div} \end{pmatrix}$$

Dynamical equations

$$\mathbf{i} \frac{\partial}{\partial t} \begin{pmatrix} \mathbf{D} \\ \mathbf{B} \end{pmatrix} = \begin{pmatrix} 0 & +\mathbf{i} \nabla^{\times} \\ -\mathbf{i} \nabla^{\times} & 0 \end{pmatrix} \begin{pmatrix} \varepsilon & \chi \\ \chi^{*} & \mu \end{pmatrix}^{-1} \begin{pmatrix} \mathbf{D} \\ \mathbf{B} \end{pmatrix}$$

Absence of sources

$$\mathsf{Div}\begin{pmatrix}\mathbf{D}\\\mathbf{B}\end{pmatrix}=0$$

Maxwell operator
$$M = \begin{pmatrix} 0 & +i \nabla^{\times} \\ -i \nabla^{\times} & 0 \end{pmatrix} \begin{pmatrix} \varepsilon & \chi \\ \chi^{*} & \mu \end{pmatrix}^{-1}$$

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Dynamical equations

$$\mathbf{i} \frac{\partial}{\partial t} \underbrace{\begin{pmatrix} \mathbf{D} \\ \mathbf{B} \end{pmatrix}}_{=\Psi} = \underbrace{\begin{pmatrix} 0 & +\mathbf{i} \nabla^{\times} \\ -\mathbf{i} \nabla^{\times} & 0 \end{pmatrix} \begin{pmatrix} \varepsilon & \chi \\ \chi^{*} & \mu \end{pmatrix}^{-1} \begin{pmatrix} \mathbf{D} \\ \mathbf{B} \end{pmatrix}}_{=M}$$

Absence of sources

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Dynamical equations

$$i\partial_t \Psi = M\Psi$$

Absence of sources

$$\begin{pmatrix} \mathbf{D} \\ \mathbf{B} \end{pmatrix} \in J := \ker \operatorname{Div} \subset L^2_{\mathsf{w}}(\mathbb{R}^3, \mathbb{C}^6)$$

 $M = M^*$ on weighted Hilbert space $L^2_w(\mathbb{R}^3, \mathbb{C}^6)$ Helmholtz splitting: $L^2_w(\mathbb{R}^3, \mathbb{C}^6) = \ker \operatorname{Div} \oplus W_1$ ran Grad

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Dynamical equations

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Absence of sources

$$egin{pmatrix} {f D}\ {f B}\end{pmatrix}\in {\it J}:={\it ker}\,{\it Div}\subset L^2_{\it W}({\mathbb R}^3,{\mathbb C}^6)$$

Symmetries of Ordinary Materials

$$W_1^{-1} = \begin{pmatrix} \varepsilon & 0 \\ 0 & \mu \end{pmatrix} = \begin{pmatrix} \operatorname{Re} \varepsilon & 0 \\ 0 & \operatorname{Re} \mu \end{pmatrix}, \qquad \varepsilon \not\propto \mu$$

3 symmetries: $VW_1 = W_1 V$ where V =

- **1** $C: (\mathbf{D}, \mathbf{B}) \mapsto (\overline{\mathbf{D}}, \overline{\mathbf{B}})$ complex conjugation relies on $\varepsilon, u, \chi \in \mathbb{R}$, "real fields remain real"
- ② $J: (\mathbf{D}, \mathbf{B}) \mapsto (\mathbf{D}, -\mathbf{B})$ implements time-reversal relies on $\chi = 0$

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Non-Linear PhCs

Candidate State

Invariance of Bloch bundle

Symmetries of Ordinary Materials

These 3 symmetries can be broken separately!

Symmetries & Classification of PTIs

Cartan-Altland-Zirnbauer classification scheme

Classifies according to discrete symmetries

3 types of (pseudo) symmetries:
 V unitary/antiunitary, V² = ±id,

 $VH(k) V^{-1} = +H(-k)$ time-reversal symmetry (±TR) $VH(k) V^{-1} = -H(-k)$ particle-hole (pseudo) symmetry (±PH) $VH(k) V^{-1} = -H(+k)$ chiral (pseudo) symmetry (χ)

- 10 CAZ classes
- Relies on $i\partial_t \psi = H\psi$ (Schrödinger equation)

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CAZ Classification of Ordinary PhCs

Symmetry	Action	Classified as	Physical meaning
С	CM(k)C = -M(-k)	+PH	"real states remain real"
$J = \sigma_3 \otimes id$	JM(k)J = -M(+k)	χ	implements time-reversal
T = JC	TM(k)T = +M(-k)	+TR	implements time-reversal

 \Rightarrow Ordinary PhCs are of class BDI

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Symmetries & Classification of PTIs

Material	Photonics	Quantum mechanics
ordinary	class BDI +PH, +TR, χ	class Al +TR
exhibiting edge currents	class Alll χ	class A/All none/-TR

G. De Nittis & M. L., Annals of Physics 350, pp. 568–587, 2014

Important consequences

- Class BDI not topologically trivial (also relevant in theory of topological superconductors)
- Existing derivations of topological effects in crystalline solids do not automatically apply to photonic crystals

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The Frequency Band Picture

$$\begin{split} M &\cong M^{\mathcal{F}} = \int_{\mathbb{T}^*}^{\oplus} \mathsf{d}k \; \mathcal{M}(k) \\ &\cong \int_{\mathbb{T}^*}^{\oplus} \mathsf{d}k \; \begin{pmatrix} 0 & +(-\mathsf{i}\nabla_y + k)^{\times} \\ -(-\mathsf{i}\nabla_y + k)^{\times} & 0 \end{pmatrix} \; \begin{pmatrix} \varepsilon & \chi \\ \chi^* & \mu \end{pmatrix}^{-1} \end{split}$$

Frequency bands & Bloch functions

 $M(k)\varphi_n(k) = \omega_n(k)\,\varphi_n(k)$

- Frequency band functions $k\mapsto \omega_n(k)$
- Bloch functions $k\mapsto arphi_n(k)$
- both locally continuous everywhere
- both locally analytic away from band crossings
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$J = \sigma_3 \otimes id$	JM(k)J = -M(+k)	$\omega_{-n}(\mathbf{k}) = -\omega_n(+\mathbf{k})$
T = JC	TM(k)T = +M(-k)	$\omega_n(k) = +\omega_n(-k)$

Parity $(P\Psi)(x) := \Psi(-x)$ relevant for non-linear interactions only Relevant symmetries for topological classification

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The Bloch Vector Bundle

Relevant family of bands $\sigma_{rel}(k) := \bigcup_{n \in \mathcal{I}} \{\omega_n(k)\}$ $|\mathcal{I}| < \infty$ and $\sigma_{rel}(k)$ separated by a local **spectral gap**.



Non-Linear PhCs

Candidate State

Invariance of Bloch bundle

The Bloch Vector Bundle

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 $|\mathcal{I}| < \infty$ and $\sigma_{\mathsf{rel}}(k)$ separated by a local **spectral gap**.

Definition (Bloch Bundle)

The Bloch bundle $\mathcal{E}_{\mathsf{B}} = \left(\xi_{\mathsf{B}}, \mathbb{T}^*, \pi\right)$ associated to $\sigma_{\mathsf{rel}}(k)$ is defined as

$$\xi_{\mathsf{B}} := \bigsqcup_{k \in \mathbb{T}^*} \operatorname{span} \left\{ \varphi_n(k) \right\}_{n \in \mathcal{I}} \xrightarrow{\pi} \mathbb{T}^*.$$

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The Bloch Vector Bundle

Assumption (Symmetric choice of bands)

For all discrete symmetries V with V M(k) V⁻¹ = $\pm M((-1)^{s}k)$ we have

$$V$$
 span $\left\{ \varphi_n \left((-1)^s k \right) \right\}_{n \in \mathcal{I}} = \operatorname{span} \left\{ \varphi_n(k) \right\}_{n \in \mathcal{I}}$.

Lemma

The Bloch bundle \mathcal{E}_{B} is a class X vector bundle where X = A, AI, AII, AIII is the topological class of the linear Maxwell operator M.

Remark

• Presently only X-vector bundles for X = A, AI, AII, AIII well-understood.

• *Procedure should extend* mutatis mutandis to other CAZ classes.

The Bloch Vector Bundle

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The Bloch Vector Bundle

Theorem

 $(e^{-itM})^* \mathcal{E}_B$ (endowed with the time-transported symmetries $V(t) = e^{+itM} V e^{-itM}$) and \mathcal{E}_B are equivalent X-vector bundles.

Corollary

All topological invariants of class X are left invariant by the light dynamics e^{-itM} .

Going Beyond the Linear Periodic Case

Generalizations of

Theorem

 $(e^{-itM})^* \mathcal{E}_B$ and \mathcal{E}_B are equivalent X-vector bundles.

Linear, adiabatically perturbed PTIs

~ Pseudodifferential operators on X-vector bundles

2 Non-linear, periodic PTIs

Going Beyond the Linear Periodic Case

Generalizations of

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~ Pseudodifferential operators on X-vector bundles

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Generalizations of

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- Linear, adiabatically perturbed PTIs
 ~> Pseudodifferential operators on X-vector bundles
- ② Non-linear, periodic PTIs (Today!)

Invariance of Bloch bundle

Part 2 Non-Linear Photonic Crystals

Assumption (Non-linear material weights)

Suppose the non-linear material weights are of the form

$$\mathcal{W}(\Psi) = \mathbf{W}_1 \Psi + \lambda^{\mathbf{q}-1} \mathbf{W}_{\mathbf{q}}(\Psi)$$

where q is the degree of the non-linearity and

$$\left(W_q(\Psi)\right)(t,x) = \int_{\mathbb{R}^q} \mathrm{d}s' \, w_q\left(x, t\,\mathbb{1} - s'; \Psi(s'_1, x), \dots, \Psi(s'_q, x)\right)$$

is defined in terms of $\mathbb{1} := (1, \dots, 1)$ and the q-form w_q that satisfies:

1 $w_q(x,s; \Psi_1, \ldots, \Psi_q) \in \mathbb{C}^6$ is symmetric under exchange of $\{\Psi_1, \ldots, \Psi_q\}.$

2 Causality and invariance: w_q(x, s; Ψ₁,..., Ψ_q) = 0 for all x ∈ ℝ³, Ψ₁,..., Ψ_q ∈ ℂ⁶ and s_j > 0 for some j = 1,..., q_q

3 Some further regularity conditions need to hold true.

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is defined in terms of $\mathbb{1} := (1, \dots, 1)$ and the q-form w_q that satisfies:

① $w_q(x, s; \Psi_1, ..., \Psi_q) \in \mathbb{C}^6$ is symmetric under exchange of $\{\Psi_1, ..., \Psi_q\}$.

2 Causality and invariance: w_q(x, s; Ψ₁,..., Ψ_q) = 0 for all x ∈ ℝ³, Ψ₁,..., Ψ_q ∈ ℂ⁶ and s_j > 0 for some j = 1,..., q_q

3 Some further regularity conditions need to hold true.

Assumption (Non-linear material weights)

Suppose the non-linear material weights are of the form

$$\mathcal{W}(\Psi) = \mathbf{W}_1 \Psi + \lambda^{\mathbf{q}-1} \mathbf{W}_{\mathbf{q}}(\Psi)$$

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- 3 Some further regularity conditions need to hold true.

Non-Linear Maxwell Equations

Non-linear Maxwell equations

Dynamical equations

$$\begin{split} \mathsf{i}\,\delta\,\partial_t\Psi &= \mathsf{Rot}\,\mathcal{W}(\Psi) - \mathsf{i}\mathcal{J} \\ &= \left(\mathsf{Rot}\,\mathcal{W}_1\Psi - \mathsf{i}\mathcal{J}\right) + \lambda^{q-1}\,\mathsf{Rot}\,\mathcal{W}_q(\Psi) \end{split}$$

Absence of sources

 ${\rm Div}\big(\mathcal{W}(\Psi)\big)=0$

 $\lambda \ll 1$ quantifies strength of non-linearity $\delta \ll 1$ long time limit (many oscillations over period of observation)

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 $\delta \ll 1 \log \operatorname{time} \operatorname{limit}$ (many oscillations over period of observation)

Symmetries of the Non-Linear Material Weights

Definition (Symmetries of the material weights) Let V be a discrete symmetry of Rot. Then V is a symmetry of W iff $VW(\Psi) = W(V\Psi).$

Existence and Uniqueness of Solutions

Theorem (Babin, Figotin (2001))

For small enough λ there exists $T \in \mathbb{R} \cup \{\infty\}$ such that the **non-linear** Maxwell equations have a **unique** solution

 $(-\infty, T] \ni t \mapsto \Psi_{\lambda}(t/\delta)$

up to time T which can be expressed in terms of the solution $\Psi_0(t)$ of the **linear** Maxwell equations via the **analytic map**

$$\begin{split} \Psi_0 &\mapsto \Psi_{\lambda}(t/\delta) = \left(\mathcal{U}(\Psi_0)\right)(t/\delta) \\ &= \Psi_0(t/\delta) + \sum_{j=q}^{\infty} \lambda^{j-1} \left(U_j(\Psi_0)\right)(t/\delta) \end{split}$$

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Existence and Uniqueness of Solutions

Corollary (Babin, Figotin (2001))

There are recursion relations for all the U_j , and the **first non-linear** response is given by

$$(U_q(\Psi_0))(t/\delta) = -\mathbf{i} \int_0^{t/\delta} d\mathbf{s} \, \mathrm{e}^{-\mathbf{i}(t-s)M} \, M \operatorname{Rot} (W_q(\Psi_0))(\mathbf{s})$$

= $+\mathbf{i} \int_0^{t/\delta} d\mathbf{s} \int_{\mathbb{R}^q} d\mathbf{s}' \, \mathrm{e}^{-\mathbf{i}(t-s)M} \, M \operatorname{Rot} \cdot$
 $\cdot w_q(\hat{\mathbf{x}}, \mathbf{s} \, \mathbb{1} - \mathbf{s}'; \Psi_0(\mathbf{s}'_1), \dots, \Psi_0(\mathbf{s}'_q)).$

Invariance of Bloch bundle

Part 3 Candidates for Relevant States

Candidate States

Invariance of Bloch bundle



For simplicity: $\mathcal{J} = 0$ (absence of currents)

Goal

Find **initial conditions** $\{f_n(k)\}_{n \in \mathcal{I}}$ supported on a finite family of bands $\sigma_{rel}(k) = \bigcup_{n \in \mathcal{I}} \{\omega_n(k)\}$ which

- 1) only interact with states inside of $\sigma_{\rm rel}(k)$,
- are potentially topologically non-trivial and
- a have appreciable non-linear interaction.



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Results by Babin & Figotin

- Arguments based on 4 works by Babin & Figotin (2001–2005)
- Uses stationary phase argument
- Focus on first non-linear response
- Arguments depend only on frequency spectrum (not band topology)
- Too early to consider PTIs (include discussion of C, though)

The First Non-Linear Response

The first two terms of the time-evolved coefficients

$$(\mathcal{FU}(\Psi_0))(t,k) = \sum_{n \in \mathbb{Z} \setminus \{0\}} g_n(t,k) \varphi_n(k)$$

$$g_n(t,k) = e^{-it\omega_n(k)} f_n(k) + \lambda^{q-1} \sum_{n' \in (\mathcal{I})^3} g_{n,n'}^{(q)}(t,k) + \mathcal{O}(\lambda^q)$$

are the linearly evolved contribution and the first non-linear response.

Non-Linear PhCs

Candidate States

Invariance of Bloch bundle

The First Non-Linear Response

$$g_{n,n'}^{(q)}(t/\delta,k) = \frac{1}{\delta} \int_0^t \mathrm{d}s \int_{(\mathbb{T}^*)^q} \mathrm{d}k' \, \delta_{\Gamma^*}\left(k - \sum_{j=1}^3 k_j'\right) \mathrm{e}^{+\frac{\mathrm{i}}{\delta}s \, \phi_{n,n'}(k,k')} \, \mathcal{A}_{n,n'}(k,k')$$

describes the interaction of q incoming waves to give outgoing wave with frequency $\omega_n(k)$.



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describes the interaction of *q* incoming waves to give **outgoing** wave with frequency $\omega_n(k)$.



Non-Linear PhCs

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describes the interaction of *q* incoming waves to give outgoing wave with frequency $\omega_n(k)$.



3 Matching Conditions

Bloch momentum conservation (always)

$$k=\sum_{j=1}^q k_j' \; \operatorname{\mathsf{mod}} \Gamma^*$$

2 Group velocity matching (stationary phase $\delta \rightarrow 0$)

$$abla_k \,\omega_{n'_1}(k'_1) = \ldots =
abla_k \,\omega_{n'_q}(k'_q)$$

③ Frequency Matching (optional, stationary phase $\delta \rightarrow 0$)

$$\omega_n(\mathbf{k}) = \sum_{j=1}^q \omega_{n'_j}(\mathbf{k}'_j)$$

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"Generic" Existence of Non-Linearly Interacting States

What do I mean by "generic"?

Existence of $\{f_n(k)\}$ satisfying 3 matching conditions ensured either by

- **symmetry** or
- **a** by fundamental properties of the band spectrum.
- \Rightarrow Stability under symmetry-preserving perturbations.
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"Generic" Existence of Non-Linearly Interacting States



Linear PTIs

Symmetries and Non-Linearities

Symmetry	Action	$\omega_n(k) \in \sigma\big(M(k)\big) \Rightarrow$
Parity P	PM(k)P = -M(-k)	$\omega_{-n}(k) = -\omega_n(-k)$
С	CM(k)C = -M(-k)	$\omega_{-n}(\mathbf{k}) = -\omega_n(-\mathbf{k})$
$J = \sigma_3 \otimes id$	JM(k)J = -M(+k)	$\omega_{-n}(k) = -\omega_n(+k)$
T = JC	TM(k) T = +M(-k)	$\omega_n(\mathbf{k}) = +\omega_n(-\mathbf{k})$

Symmetries and Non-Linearities

- Usually q = 2 (first non-linear response is quadratic)
- Presence of parity $\Rightarrow q = 3$ (medium is cubic)

Linear PTIs

Non-Linear PhCs

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Candidates for Generic, Non-Linearly Coupling Modes

Properties	Cubic	Quadratic
Band indices	$n_1' = n = n_2', n_3' = -n$	$n_1'=n, n_2'=gs$
Momenta	$k'_1 = k = k'_2, k'_3 = -k$	$k_1' = k, k_2' = 0$

~ So far **only this case** is covered by existing theory!

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Candidates for Generic, Non-Linearly Coupling Modes



Linear PTIs

Invariance of Bloch bundle

Part 4 Persistence of Topological Effects

Setting

For simplicity: cubic case (q = 3, parity symmetry present)

Assumption (Choice of bands)

Assume we choose the relevant bands $\sigma_{rel}(k) = \bigcup_{n \in \mathcal{I}} \{\omega_n(k)\}$ so that

- they are separated by a local spectral gap,
- 2 are symmetric with respect to all discrete symmetries of M, and
- 3) for all $n \in \mathcal{I}$ the **only** modes which couple are of generic type.

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- **3** for all $n \in \mathcal{I}$ the **only** modes which couple are of generic type.

The Effective Non-Linear Dynamics

Ingredient from analysis

Consider approximate dynamics

$$\begin{split} \big(\mathcal{U}_{\mathsf{FNLR}}(\Psi)\big)(t,k) &= \sum_{n \in \mathcal{I}} \mathrm{e}^{-\mathrm{i}t\omega_n(k)} \left(f_n(k) + \right. \\ &+ \lambda \, \delta^2 \, t \, C \, \frac{\mathrm{e}^{+\mathrm{i} \frac{\pi}{4} \mathrm{sgn} \, \mathrm{Hess}_{k'} \, \phi_{n,(n,n,-n)}(k,(k,k,-k))}}{\left| \det \, \mathrm{Hess}_{k'} \, \phi_{n,(n,n,-n)}\big(k,(k,k,-k)\big) \right|^{1/2}} \cdot \\ &\cdot \mathcal{A}_{\mathrm{eff}}\big(\{f_n\}_{n \in \mathcal{I}};k,(k,k,-k)\big) \Big) \, \varphi_n(k) \end{split}$$

which include the first non-linear response.

The Effective Non-Linear Dynamics

Ingredient from analysis

Consider approximate dynamics

$$\mathcal{U}_{\rm FNLR}(\Psi) = \mathcal{U}_{\rm lin}(\Psi) + \lambda \, \delta^2 \, \mathcal{U}_{\rm FNLR}(\Psi)$$

which include the first non-linear response.

Combine Assumption (3) with additional assumption

$$\left|\det \operatorname{Hess}_{k'}\phi_{n,n'}(k,k)\right| \geq \mathsf{C} > 0$$

 \Rightarrow $(t,k) \mapsto \mathcal{U}_{\mathit{FNLR}}(t,k)$ is continuous and maps the range of

$$\mathcal{P}_{\mathcal{I}} := \int_{\mathbb{T}^*}^{\oplus} \mathrm{d}k \sum_{n \in \mathcal{I}} |\varphi_n(k)\rangle \langle \varphi_n(k)|$$

onto itself.

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The Effective Non-Linear Dynamics

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_{30/36} onto itself.

$$\mathcal{E}_{\mathsf{B}} \xleftarrow{1\text{-to-1}} \mathcal{E}_{\mathcal{S}(\mathcal{B})} \xleftarrow{\mathcal{U}_{\mathsf{FLNR}}(t)} \mathcal{E}_{\widetilde{\mathcal{S}(\mathcal{B})}} \xleftarrow{1\text{-to-1}} \mathcal{E}_{\mathsf{B}}$$

• Frame bundle $\mathcal{E}_{S(B)}$ associated to \mathcal{E}_{B}

•
$$\mathcal{E}_{\widetilde{S(B)}}$$
 "Deformed frame bundle"

• $U_{\text{FNLR}}(t) = U_{\text{lin}}(t) + O(\lambda \delta^2)$ frame bundle isomorphism (changes length and orientation of vectors, remain linearly independent)

$$\mathcal{E}_{\mathsf{B}} \xleftarrow{1\text{-to-1}} \mathcal{E}_{\mathsf{S}(B)} \xleftarrow{\mathcal{U}_{\mathsf{FLNR}}(t)} \mathcal{E}_{\widetilde{\mathsf{S}(B)}} \xleftarrow{1\text{-to-1}} \mathcal{E}_{\mathsf{B}}$$

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Candidate State

Invariance of Bloch bundle

Essential Ingredient

$$\mathcal{E}_{\mathsf{B}} \xleftarrow{1\text{-to-1}} \mathcal{E}_{\mathsf{S}(\mathcal{B})} \xleftarrow{\mathcal{U}_{\mathsf{FLNR}}(t)} \mathcal{E}_{\widetilde{\mathsf{S}(\mathcal{B})}} \xleftarrow{1\text{-to-1}} \mathcal{E}_{\mathsf{B}}$$

"Non-linear dynamics do not alter topology of the Bloch bundle."

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$$\mathcal{E}_{\mathsf{B}} \xleftarrow{\operatorname{1-to-1}} \mathcal{E}_{\mathsf{S}(B)} \xleftarrow{\mathcal{U}_{\mathsf{FLNR}}(t)} \mathcal{E}_{\widetilde{\mathsf{S}(B)}} \xleftarrow{\operatorname{1-to-1}} \mathcal{E}_{\mathsf{B}}$$

Technical Complications

• $\mathcal{U}_{\text{FNLR}}(t)$ acts "not quite" fiber-wise evaluates at $\pm k$ \rightsquigarrow Replace $\mathcal{E}_{\text{B}} \cong \mathcal{E}_{+} \oplus \mathcal{E}_{-}$ with $\mathcal{E}_{+} \oplus f^* \mathcal{E}_{-}$ where $f : k \mapsto -k$

Include symmetries

$$\mathcal{E}_{\mathsf{B}} \xleftarrow{1\text{-to-1}} \mathcal{E}_{\mathcal{S}(\mathcal{B})} \xleftarrow{\mathcal{U}_{\mathsf{FLNR}}(t)} \mathcal{E}_{\widetilde{\mathcal{S}(\mathcal{B})}} \xleftarrow{1\text{-to-1}} \mathcal{E}_{\mathsf{B}}$$

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- Include symmetries

Invariance of Bloch bundle

Topological Invariants

Then we immediately deduce

Theorem

All topological invariants of class X are left invariant by the **non-linear** dynamics.

Linear PTIs

Non-Linear PhCs

Candidate States

Invariance of Bloch bundle

Conclusion

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Part 1

Classification of Linear PTIs

- Schrödinger formalism of electromagnetism
 → application of CAZ scheme for TIs
- Complete classification table in publication
- Ordinary material in class BDI (3 symmetries)

 different from time-reversal-invariant quantum systems!
 each symmetry can be broken individually

Part 2

Dynamics and Symmetries of Non-Linear PhCs

- For small coupling: existence and uniqueness of solutions
- Explicit expression of first non-linear response

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Dynamics and Symmetries of Non-Linear PhCs

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Part 3 Candidates for Relevant States

- Notion of non-linearly coupling modes which exist "generically"
- Cubic case: generic non-linearly coupling modes exist
- Quadratic case: generic non-linearly coupling modes exist ~> outside of current theory

Part 4

Topological Invariants & Non-Linear Dynamics

• How to prove stability of topological invariance in presence of non-linearities

Linear PTIs

Invariance of Bloch bundle

Thank you for your attention!

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