# Existence and Absence of Non-Linear Effects in Photonic Topological Insulators 

in collaboration with Giuseppe De Nittis \& Maxime Gazeau

Max Lein<br>2015.10.06@AIMR

## Periodic Light Conductors

## Photonic Crystals

Johnson \& Joannopoulos (2004)


Periodic Waveguide Arrays
Rechtsman, Szameit et al (2013)


- periodic structure $\Longrightarrow$ peculiar light conduction properties
- artificial PLCs can be engineered arbitrarily and inexpensively
- "band structure engineering"
$\rightsquigarrow$ photonic band gaps, slow light, low-dispersion materials
- natural photonic crystals: gem stones, beetle shells, butterfly wings


## A Novel Class of Materials: Photonic Topological Insulators

Theory
Predicted by

- Onoda, Murakami and Nagaosa (2004)
- Raghu and Haldane (2005)


## Experiment

... and realized in

- 2d photonic crystals for microwaves by Joannopoulos, Soljačić et al (2009)
- periodic waveguide arrays for light at optical frequencies by Rechtsman, Szameit et al (2013)


## A Novel Class of Materials: Photonic Topological Insulators



## Trends in Research on Photonics

(1) Realize many effects for light at optical frequencies.
$\rightsquigarrow$ Necessary for integration with optical devices
(2) Rely as much as possible on ordinary materials.
$\rightsquigarrow$ Ordinary materials in non-trivial topological class!
(3) Include non-linear effects.
$\rightsquigarrow$ Should be particularly strong in topological edge modes (remain localized!)

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## Goals

Long-term goal: Prove existence of topological solitons
Problem: Linear case not fully understood.
(1) Find candidates for topologically non-trivial modes exhibit appreciable non-linear effects.
(2) Find a mathematical formulation of "Topological phenomena persist in the presence of non-linearities

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(1) Find candidates for topologically non-trivial modes which exhibit appreciable non-linear effects.
(2) Find a mathematical formulation of "Topological phenomena persist in the presence of non-linearities with the same symmetries as the linear system."

## Talk Based on

Collaboration with Giuseppe De Nittis

- On the Role of Symmetries in the Theory of Photonic Crystals Annals of Physics 350, pp. 568-587, 2014
and Maxime Gazeau
- Existence and Absence of Non-Linear Effects in Photonic Topological Insulators in preparation, 2015


## Part 1 <br> Linear Photonic Topological Insulators



## Assumption (Material weights)

$$
W_{1}(x)=\left(\begin{array}{cc}
\varepsilon(x) & \chi(x) \\
\chi(x)^{*} & \mu(x)
\end{array}\right)
$$

(1) $W_{1}^{*}=W_{1}$ (lossless)
(2) $0<c \mathbf{1} \leq W_{1} \leq \mathbf{C} \mathbf{1}$ (excludes negative index mat.)
(3) $W_{1}$ frequency-independent (response instantaneous)
(4) $W_{1}$ periodic wrt lattice $\Gamma \simeq \mathbb{Z}^{3}$


## Maxwell equations

Dynamical equations

$$
\left(\begin{array}{cc}
\varepsilon & \chi \\
\chi^{*} & \mu
\end{array}\right) \frac{\partial}{\partial t}\binom{\mathbf{E}}{\mathbf{H}}=\binom{+\nabla \times \mathbf{H}}{-\nabla \times \mathbf{E}}
$$

Absence of sources

$$
\left(\begin{array}{cc}
\operatorname{div} & 0 \\
0 & \operatorname{div}
\end{array}\right)\left(\begin{array}{cc}
\varepsilon & \chi \\
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Johnson \& Joannopoulos (2004)

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\end{array}\right)\binom{\mathbf{E}}{\mathbf{H}}=0
$$

Express Maxwell equations in terms of $\binom{\mathbf{D}}{\mathbf{B}}=\left(\begin{array}{cc}\varepsilon & \chi \\ \chi^{*} & \mu\end{array}\right)\binom{\mathbf{E}}{\mathbf{H}}$

Maxwell equations
Dynamical equations

$$
\frac{\partial}{\partial t}\binom{\mathbf{D}}{\mathbf{B}}=\left(\begin{array}{cc}
0 & +\nabla^{\times} \\
-\nabla^{\times} & 0
\end{array}\right)\left(\begin{array}{cc}
\varepsilon & \chi \\
\chi^{*} & \mu
\end{array}\right)^{-1}\binom{\mathbf{D}}{\mathbf{B}}
$$

Absence of sources

$$
\operatorname{Div}\binom{\mathbf{D}}{\mathbf{B}}=0
$$

Define Div $:=\left(\begin{array}{cc}\operatorname{div} & 0 \\ 0 & \operatorname{div}\end{array}\right)$

Maxwell equations
Dynamical equations

$$
\mathrm{i} \frac{\partial}{\partial t}\binom{\mathbf{D}}{\mathbf{B}}=\left(\begin{array}{cc}
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Absence of sources

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Maxwell operator $M=\left(\begin{array}{cc}0 & +\mathrm{i} \nabla^{\times} \\ -\mathrm{i} \nabla^{\times} & 0\end{array}\right)\left(\begin{array}{cc}\varepsilon & \chi \\ \chi^{*} & \mu\end{array}\right)^{-1}$

## Maxwell equations

## Dynamical equations

$$
\mathrm{i} \frac{\partial}{\partial t} \underbrace{\binom{\mathbf{D}}{\mathbf{B}}}_{=\Psi}=\underbrace{\left(\begin{array}{cc}
0 & +\mathrm{i} \nabla^{\times} \\
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\end{array}\right)\left(\begin{array}{cc}
\varepsilon & \chi \\
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\end{array}\right)^{-1}}_{=M}\binom{\mathbf{D}}{\mathbf{B}}
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Maxwell equations
Dynamical equations

$$
\mathrm{i} \partial_{t} \Psi=M \Psi
$$

Absence of sources

$$
\binom{\mathbf{D}}{\mathbf{B}} \in J:=\operatorname{ker} \operatorname{Div} \subset L_{w}^{2}\left(\mathbb{R}^{3}, \mathbb{C}^{6}\right)
$$

$M=M^{*}$ on weighted Hilbert space $L_{w}^{2}\left(\mathbb{R}^{3}, \mathbb{C}^{6}\right)$ Helmholtz splitting: $L_{w}^{2}\left(\mathbb{R}^{3}, \mathbb{C}^{6}\right)=\operatorname{ker} \operatorname{Div} \oplus W_{1}$ ran Grad

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## Symmetries of Ordinary Materials

$$
W_{1}^{-1}=\left(\begin{array}{cc}
\varepsilon & 0 \\
0 & \mu
\end{array}\right)=\left(\begin{array}{cc}
\operatorname{Re} \varepsilon & 0 \\
0 & \operatorname{Re} \mu
\end{array}\right), \quad \varepsilon \not \nsim \mu
$$

3 symmetries: $V W_{1}=W_{1} V$ where $V=$
(1) $C:(\mathbf{D}, \mathbf{B}) \mapsto(\overline{\mathbf{D}}, \overline{\mathbf{B}})$ complex conjugation relies on $\varepsilon, \mu, \chi \in \mathbb{R}$, "real fields remain real"

B) implements time-reversal

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(3) $T=J C:(\mathbf{D}, \mathbf{B}) \mapsto(\bar{D},-\bar{B})$ implements time-reversal

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## Symmetries of Ordinary Materials

## These $\mathbf{3}$ symmetries can be broken separately!

## Symmetries \& Classification of PTIs

## Cartan-Altland-Zirnbauer classification scheme

Classifies according to discrete symmetries

- 3 types of (pseudo) symmetries:
$V$ unitary/antiunitary, $V^{2}= \pm$ id,

$$
\begin{array}{ll}
V H(k) V^{-1}=+H(-k) & \text { time-reversal symmetry }( \pm \mathrm{TR}) \\
V H(k) V^{-1}=-H(-k) & \text { particle-hole (pseudo) symmetry }( \pm \mathrm{PH}) \\
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- 10 CAZ classes
- Relies on $\mathrm{i} \partial_{\mathrm{t}} \psi=H \psi$ (Schrödinger equation)


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## CAZ Classification of Ordinary PhCs

| Symmetry | Action | Classified as | Physical meaning |
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| $C$ | $C M(k) C=-M(-k)$ | + PH | "real states <br> remain real" |
| $J=\sigma_{3} \otimes$ id | $J M(k) J=-M(+k)$ | $\chi$ | implements <br> time-reversal |
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$\Rightarrow$ Ordinary PhCs are of class BDI

## Symmetries \& Classification of PTIs

| Material | Photonics | Quantum mechanics |
| :---: | :---: | :---: |
| ordinary | class BDI <br> + PH, + TR, $\chi$ | class AI <br> + TR |
| exhibiting <br> edge currents | class Alll <br> $\chi$ | class A/AII <br> none/-TR |

G. De Nittis \& M. L., Annals of Physics 350, pp. 568-587, 2014

## Important consequences

(also relevant in theory of topological superconductors)
Existing derivations of topological effects in crystalline
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- Class BDI not topologically trivial (also relevant in theory of topological superconductors)
- Existing derivations of topological effects in crystalline solids do not automatically apply to photonic crystals


## The Frequency Band Picture

$$
\begin{aligned}
M \cong M^{\mathcal{F}} & =\int_{\mathbb{T}^{*}}^{\oplus} \mathrm{dk} M(k) \\
& \cong \int_{\mathbb{T}^{*}}^{\oplus} \mathrm{d} k\left(\begin{array}{cc}
0 & +\left(-\mathrm{i} \nabla_{y}+k\right)^{\times} \\
-\left(-\mathrm{i} \nabla_{y}+k\right)^{\times} & 0
\end{array}\right)\left(\begin{array}{cc}
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Frequency bands \& Bloch functions

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Frequency bands \& Bloch functions

$$
M(k) \varphi_{n}(k)=\omega_{n}(k) \varphi_{n}(k)
$$

- Frequency band functions $k \mapsto \omega_{n}(k)$
- Bloch functions $k \mapsto \varphi_{n}(k)$
- both locally continuous everywhere
- both locally analytic away from band crossings


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| $C$ | $C M(k) C=-M(-k)$ | $\omega_{-n}(k)=-\omega_{n}(-k)$ |
| $J=\sigma_{3} \otimes \mathrm{id}$ | $J M(k) J=-M(+k)$ | $\omega_{-n}(k)=-\omega_{n}(+k)$ |
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Parity $(P \Psi)(x):=\Psi(-x)$ relevant for non-linear interactions only

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## Relevant symmetries for topological classification

## The Bloch Vector Bundle

Relevant family of bands $\sigma_{\text {rel }}(k):=\bigcup_{n \in \mathcal{I}}\left\{\omega_{n}(k)\right\}$
$|\mathcal{I}|<\infty$ and $\sigma_{\text {rel }}(k)$ separated by a local spectral gap.


## The Bloch Vector Bundle

Relevant family of bands $\sigma_{\text {rel }}(k):=\bigcup_{n \in \mathcal{I}}\left\{\omega_{n}(k)\right\}$
$|\mathcal{I}|<\infty$ and $\sigma_{\text {rel }}(k)$ separated by a local spectral gap.
Definition (Bloch Bundle)
The Bloch bundle $\mathcal{E}_{\mathrm{B}}=\left(\xi_{\mathrm{B}}, \mathbb{T}^{*}, \pi\right)$ associated to $\sigma_{\mathrm{rel}}(k)$ is defined as

$$
\xi_{\mathrm{B}}:=\bigsqcup_{k \in \mathbb{T}^{*}} \operatorname{span}\left\{\varphi_{n}(k)\right\}_{n \in \mathcal{I}} \xrightarrow{\pi} \mathbb{T}^{*} .
$$

## The Bloch Vector Bundle

## Assumption (Symmetric choice of bands)

For all discrete symmetries $V$ with $V M(k) V^{-1}= \pm M\left((-1)^{s} k\right)$ we have

$$
V \operatorname{span}\left\{\varphi_{n}\left((-1)^{s} k\right)\right\}_{n \in \mathcal{I}}=\operatorname{span}\left\{\varphi_{n}(k)\right\}_{n \in \mathcal{I}} .
$$

Lemma
The Bloch bundle $\mathcal{E}_{\mathrm{B}}$ is a class $X$ vector bundle where $X=A, A l$, All, Alll is the topological class of the linear Maxwell operator M.

## Remark

Presently only $X$-vector bundles for $X=A$, Al, All, Alll well-understood.
Procedure should extend mutatis mutandis to other CAZ classes.

## The Bloch Vector Bundle

## Assumption (Symmetric choice of bands)

For all discrete symmetries $V$ with $V M(k) V^{-1}= \pm M\left((-1)^{s} k\right)$ we have

$$
V_{\operatorname{span}}\left\{\varphi_{n}\left((-1)^{5} k\right)\right\}_{n \in \mathcal{I}}=\operatorname{span}\left\{\varphi_{n}(k)\right\}_{n \in \mathcal{I}} .
$$

## Lemma

The Bloch bundle $\mathcal{E}_{B}$ is a class $X$ vector bundle where $X=A, A l, A l l$, All is the topological class of the linear Maxwell operator $M$.

## Remark

- Presently only $X$-vector bundles for $X=A, A l$, All, Alll well-understood.
- Procedure should extend mutatis mutandis to other CAZ classes.


## The Bloch Vector Bundle

Theorem
$\left(\mathrm{e}^{-\mathrm{i} \boldsymbol{i} M}\right)^{*} \mathcal{E}_{\boldsymbol{B}}$ (endowed with the time-transported symmetries $V(t)=\mathrm{e}^{\mathrm{+itM}} V \mathrm{e}^{-\mathrm{it} M}$ ) and $\mathcal{E}_{B}$ are equivalent $X$-vector bundles.

## Corollary

All topological invariants of class $X$ are left invariant by the light dynamics e $\mathrm{e}^{-\mathrm{it} M}$.

## Going Beyond the Linear Periodic Case

## Generalizations of

Theorem
$\left(\mathrm{e}^{-\mathrm{it} M}\right)^{*} \mathcal{E}_{\mathrm{B}}$ and $\mathcal{E}_{\mathrm{B}}$ are equivalent X -vector bundles.
(1) Linear, adiabatically perturbed PTIs
$\rightsquigarrow$ Pseudodifferential operators on X-vector bundles
(2) Non-linear, periodic PTIs

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(2) Non-linear, periodic PTIs (Today!)

## Part 2 Non-Linear Photonic Crystals

## Non-Linear Material Weights with Symmetries

## Assumption (Non-linear material weights)

Suppose the non-linear material weights are of the form

$$
\mathcal{W}(\Psi)=W_{1} \Psi+\lambda^{q-1} W_{q}(\Psi)
$$

where $q$ is the degree of the non-linearity and

$$
\left(W_{q}(\Psi)\right)(t, x)=\int_{\mathbb{R}^{q}} \mathrm{~d} s^{\prime} w_{q}\left(x, t \mathbb{1}-s^{\prime} ; \Psi\left(s_{1}^{\prime}, x\right), \ldots, \Psi\left(s_{q}^{\prime}, x\right)\right)
$$

is defined in terms of $\mathbb{1}:=(1, \ldots, 1)$ and the $q$-form $w_{q}$ that satisfies:
(2) Causality and invariance: $w_{q}\left(x, s ; \Psi_{1}, \ldots, \Psi_{q}\right)=0$ for all
(3) Some further regularity conditions need to hold true.

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$$

is defined in terms of $\mathbb{1}:=(1, \ldots, 1)$ and the $q$-form $w_{q}$ that satisfies:
(1) $w_{q}\left(x, s ; \Psi_{1}, \ldots, \Psi_{q}\right) \in \mathbb{C}^{6}$ is symmetric under exchange of $\left\{\Psi_{1}, \ldots, \Psi_{q}\right\}$.
(2) Causality and invariance: $w_{q}\left(x, s ; \Psi_{1}, \ldots, \Psi_{q}\right)=0$ for all
(3) Some further regularity conditions need to hold true.

## Non-Linear Material Weights with Symmetries

## Assumption (Non-linear material weights)

Suppose the non-linear material weights are of the form

$$
\mathcal{W}(\Psi)=W_{1} \Psi+\lambda^{q-1} W_{q}(\Psi)
$$

where $q$ is the degree of the non-linearity and

$$
\left(W_{q}(\Psi)\right)(t, x)=\int_{\mathbb{R}^{q}} \mathrm{ds}^{\prime} w_{q}\left(x, t \mathbb{1}-s^{\prime} ; \Psi\left(s_{1}^{\prime}, x\right), \ldots, \Psi\left(s_{q}^{\prime}, x\right)\right)
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(3) Some further regularity conditions need to hold true.

## Non-Linear Maxwell Equations

Non-linear Maxwell equations
Dynamical equations

$$
\begin{aligned}
\mathbf{i} \delta \partial_{t} \Psi & =\operatorname{Rot} \mathcal{W}(\Psi)-\mathbf{i} \mathcal{J} \\
& =\left(\operatorname{Rot} W_{1} \Psi-\mathbf{i} \mathcal{J}\right)+\lambda^{q-1} \operatorname{Rot} W_{q}(\Psi)
\end{aligned}
$$

Absence of sources

$$
\operatorname{Div}(\mathcal{W}(\Psi))=0
$$

$\lambda \ll 1$ quantifies strength of non-linearity
$\delta \ll 1$ long time limit (many oscillations over period of observation)

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## Symmetries of the Non-Linear Material Weights

Definition (Symmetries of the material weights)
Let $V$ be a discrete symmetry of Rot. Then $V$ is a symmetry of $\mathcal{W}$ iff

$$
V \mathcal{W}(\Psi)=\mathcal{W}(V \Psi)
$$

## Existence and Uniqueness of Solutions

## Theorem (Babin, Figotin (2001))

For small enough $\lambda$ there exists $T \in \mathbb{R} \cup\{\infty\}$ such that the non-linear Maxwell equations have a unique solution

$$
(-\infty, T] \ni t \mapsto \Psi_{\lambda}(t / \delta)
$$

up to time $T$ which can be expressed in terms of the solution $\Psi_{0}(t)$ of the linear Maxwell equations via the analytic map

$$
\begin{aligned}
\Psi_{0} \mapsto \Psi_{\lambda}(t / \delta) & =\left(\mathcal{U}\left(\Psi_{0}\right)\right)(t / \delta) \\
& =\Psi_{0}(t / \delta)+\sum_{j=q}^{\infty} \lambda^{j-1}\left(U_{j}\left(\Psi_{0}\right)\right)(t / \delta)
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\end{aligned}
$$

## Existence and Uniqueness of Solutions

Corollary (Babin, Figotin (2001))
There are recursion relations for all the $U_{j}$, and the first non-linear response is given by

$$
\begin{aligned}
&\left(U_{q}\left(\Psi_{0}\right)\right)(t / \delta)=-\mathrm{i} \int_{0}^{t / \delta} \mathrm{d} s \mathrm{e}^{-\mathrm{i}(t-s) M} M \operatorname{Rot}\left(W_{q}\left(\Psi_{0}\right)\right)(s) \\
&=+\mathrm{i} \int_{0}^{t / \delta} \mathrm{d} s \int_{\mathbb{R}^{q}} \mathrm{~d} s^{\prime} \mathrm{e}^{-\mathrm{i}(t-s) M} M \operatorname{Rot} \\
& \quad w_{q}\left(\hat{x}, s \mathbb{1}-s^{\prime} ; \Psi_{0}\left(s_{1}^{\prime}\right), \ldots, \Psi_{0}\left(s_{q}^{\prime}\right)\right)
\end{aligned}
$$

## Part 3 <br> Candidates for Relevant States

## Goal

For simplicity: $\mathcal{J}=0$ (absence of currents)

## Goal

Find initial conditions $\left\{f_{n}(k)\right\}_{n \in \mathcal{I}}$ supported on a finite family of bands $\sigma_{\mathrm{rel}}(k)=\bigcup_{n \in \mathcal{I}}\left\{\omega_{n}(k)\right\}$ which
(1) only interact with states inside of $\sigma_{\text {rel }}(k)$,
(2) are potentially topologically non-trivial and
(3) have appreciable non-linear interaction.


## Results by Babin \& Figotin

- Arguments based on 4 works by Babin \& Figotin (2001-2005)
- Uses stationary phase argument
- Focus on first non-linear response
- Arguments depend only on frequency spectrum (not band topology)
- Too early to consider PTIs (include discussion of $C$, though)


## The First Non-Linear Response

The first two terms of the time-evolved coefficients

$$
\begin{aligned}
\left(\mathcal{F U}\left(\Psi_{0}\right)\right)(t, k) & =\sum_{n \in \mathbb{Z} \backslash\{0\}} g_{n}(t, k) \varphi_{n}(k) \\
g_{n}(t, k) & =\mathrm{e}^{-\mathrm{i} t \omega_{n}(k)} f_{n}(k)+\lambda^{q-1} \sum_{n^{\prime} \in(\mathcal{I})^{3}} g_{n, n^{\prime}}^{(q)}(t, k)+\mathcal{O}\left(\lambda^{q}\right)
\end{aligned}
$$

are the linearly evolved contribution and the first non-linear response.

## The First Non-Linear Response

$$
g_{n, n^{\prime}}^{(q)}(t / \delta, k)=\frac{1}{\delta} \int_{0}^{t} \mathrm{~d} s \int_{\left(\mathbb{T}^{*}\right)^{q}} \mathrm{~d} k^{\prime} \delta_{\Gamma^{*}}\left(k-\sum_{j=1}^{3} k_{j}^{\prime}\right) \mathrm{e}^{+\frac{i}{\delta} s \phi_{n, n^{\prime}}\left(k, k^{\prime}\right)} A_{n, n^{\prime}}\left(k, k^{\prime}\right)
$$

describes the interaction of $q$ incoming waves to give outgoing wave with frequency $\omega_{n}(k)$.

$$
\begin{aligned}
& \omega_{n_{1}^{\prime}}\left(k_{1}^{\prime}\right) \\
& \quad \vdots \\
& \omega_{n_{q}^{\prime}}\left(k_{q}^{\prime}\right)
\end{aligned}
$$

## The First Non-Linear Response

$$
g_{n, n^{\prime}}^{(q)}(t / \delta, k)=\frac{1}{\delta} \int_{0}^{t} \mathrm{~d} s \int_{\left(\mathbb{T}^{*}\right)^{q}} \mathrm{~d} k^{\prime} \delta_{\Gamma^{*}}\left(k-\sum_{j=1}^{3} k_{j}^{\prime}\right) \mathrm{e}^{+\frac{i}{\delta} s \phi_{n, n^{\prime}}\left(k, k^{\prime}\right)} A_{n, n^{\prime}}\left(k, k^{\prime}\right)
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$$

describes the interaction of $q$ incoming waves to give outgoing wave with frequency $\omega_{n}(k)$.


## 3 Matching Conditions

(1) Bloch momentum conservation (always)

$$
k=\sum_{j=1}^{q} k_{j}^{\prime} \bmod \Gamma^{*}
$$

(2) Group velocity matching (stationary phase $\delta \rightarrow 0$ )

$$
\nabla_{k} \omega_{n_{1}^{\prime}}\left(k_{1}^{\prime}\right)=\ldots=\nabla_{k} \omega_{n_{q}^{\prime}}\left(k_{q}^{\prime}\right)
$$

(3) Frequency Matching (optional, stationary phase $\delta \rightarrow 0$ )

$$
\omega_{n}(k)=\sum_{j=1}^{q} \omega_{n_{j}^{\prime}}\left(k_{j}^{\prime}\right)
$$

## "Generic" Existence of Non-Linearly Interacting States

What do I mean by "generic"?
Existence of $\left\{f_{n}(k)\right\}$ satisfying 3 matching conditions ensured either by
(1) symmetry or
(2) by fundamental properties of the band spectrum.
$\Rightarrow$ Stability under symmetry-preserving perturbations.

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## "Generic" Existence of Non-Linearly Interacting States



## Symmetries and Non-Linearities

| Symmetry | Action | $\omega_{n}(k) \in \sigma(M(k)) \Rightarrow$ |
| :---: | :---: | :---: |
| Parity $P$ | $P M(k) P=-M(-k)$ | $\omega_{-n}(k)=-\omega_{n}(-k)$ |
| $C$ | $C M(k) C=-M(-k)$ | $\omega_{-n}(k)=-\omega_{n}(-k)$ |
| $J=\sigma_{3} \otimes$ id | $J M(k) J=-M(+k)$ | $\omega_{-n}(k)=-\omega_{n}(+k)$ |
| $T=J C$ | $T M(k) T=+M(-k)$ | $\omega_{n}(k)=+\omega_{n}(-k)$ |

## Symmetries and Non-Linearities

- Usually $q=2$ (first non-linear response is quadratic)
- Presence of parity $\Rightarrow q=3$ (medium is cubic)


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## Candidates for Generic, Non-Linearly Coupling Modes

| Properties | Cubic | Quadratic |
| :---: | :---: | :---: |
| Band indices | $n_{1}^{\prime}=n=n_{2}^{\prime}, n_{3}^{\prime}=-n$ | $n_{1}^{\prime}=n, n_{2}^{\prime}=\mathrm{gs}$ |
| Momenta | $k_{1}^{\prime}=k=k_{2}^{\prime}, k_{3}^{\prime}=-k$ | $k_{1}^{\prime}=k, k_{2}^{\prime}=0$ |

$$
\rightsquigarrow \text { So far only this case is covered by existing theory! }
$$

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## Candidates for Generic, Non-Linearly Coupling Modes



## Part 4 Persistence of Topological Effects

## Setting

For simplicity: cubic case ( $q=3$, parity symmetry present)

## Assumption (Choice of bands)

Assume we choose the relevant bands $\sigma_{\text {rel }}(k)=\bigcup_{n \in \mathcal{I}}\left\{\omega_{n}(k)\right\}$ so that
(1) they are separated by a local spectral gap,
(2) are symmetric with respect to all discrete symmetries of $M$, and
(3) for all $n \in \mathcal{I}$ the only modes which couple are of generic type.

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## The Effective Non-Linear Dynamics

## Ingredient from analysis

Consider approximate dynamics

$$
\begin{aligned}
& \left(\mathcal{U}_{\text {FNLR }}(\Psi)\right)(t, k)=\sum_{n \in \mathcal{I}} \mathrm{e}^{-\mathrm{i} \mathrm{t} \omega_{n}(k)}\left(f_{n}(k)+\right. \\
& \quad+\lambda \delta^{2} t C \frac{\mathrm{e}^{+i \frac{\pi}{4} \operatorname{sgn} \operatorname{Hess}_{k^{\prime}} \phi_{n,(n, n,-n)}(k,(k, k,-k))}}{\left|\operatorname{det} \operatorname{Hess}_{k^{\prime}} \phi_{n,(n, n,-n)}(k,(k, k,-k))\right|^{1 / 2}} . \\
& \left.\quad \cdot A_{\text {eff }}\left(\left\{f_{n}\right\}_{n \in \mathcal{I}} ; k,(k, k,-k)\right)\right) \varphi_{n}(k)
\end{aligned}
$$

which include the first non-linear response.

## The Effective Non-Linear Dynamics

## Ingredient from analysis

Consider approximate dynamics

$$
\mathcal{U}_{\text {FNLR }}(\Psi)=U_{\operatorname{lin}}(\Psi)+\lambda \delta^{2} U_{\text {FNLR }}(\Psi)
$$

which include the first non-linear response.
Combine Assumption (3) with additional assumption

$$
\left|\operatorname{det} \operatorname{Hess}_{k^{\prime}} \phi_{n, n^{\prime}}(k, k)\right| \geq C>0
$$

$\Rightarrow(t, k) \mapsto \mathcal{U}_{F N L R}(t, k)$ is continuous and maps the range of

$$
P_{\mathcal{I}}:=\int_{\mathbb{T}^{*}}^{\oplus} \mathrm{d} k \sum_{n \in \mathcal{I}}\left|\varphi_{n}(k)\right\rangle\left\langle\varphi_{n}(k)\right|
$$

onto itself.

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## Essential Ingredient



- Frame bundle $\mathcal{E}_{S(B)}$ associated to $\mathcal{E}_{\mathrm{B}}$
- $\mathcal{E}_{\widetilde{S(B)}}$ "Deformed frame bundle"
- $\mathcal{U}_{\text {FNLR }}(t)=U_{\operatorname{lin}}(t)+\mathcal{O}\left(\lambda \delta^{2}\right)$ frame bundle isomorphism
independent)


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## Essential Ingredient

$$
\mathcal{E}_{\mathrm{B}} \stackrel{1-\mathrm{to-1}}{\longleftrightarrow} \mathcal{E}_{S(B)} \stackrel{\mathcal{U}_{\mathrm{FLNR}}(t)}{\longleftrightarrow} \mathcal{E}_{\widetilde{S(B)}} \stackrel{1-\mathrm{to-1}}{\longleftrightarrow} \mathcal{E}_{\mathrm{B}}
$$

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- $\mathcal{E}_{\widetilde{S(B)}}$ "Deformed frame bundle"
- $\mathcal{U}_{\text {FNLR }}(t)=U_{\text {lin }}(t)+\mathcal{O}\left(\lambda \delta^{2}\right)$ frame bundle isomorphism (changes length and orientation of vectors, remain linearly independent)


## Essential Ingredient

$$
\mathcal{E}_{\mathrm{B}} \stackrel{1-\mathrm{to}-1}{\longleftrightarrow} \mathcal{E}_{S(B)} \stackrel{\mathcal{U}_{\mathrm{FLNR}}(t)}{\longleftrightarrow} \mathcal{E}_{\widetilde{S(B)}} \stackrel{1 \text { to- }-1}{\longleftrightarrow} \mathcal{E}_{\mathrm{B}}
$$

"Non-linear dynamics do not alter topology of the Bloch bundle."

## Essential Ingredient

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$$

## Technical Complications

- $\mathcal{U}_{\text {FNLR }}(t)$ acts "not quite" fiber-wise evaluates at $\pm k$ $\rightsquigarrow$ Replace $\mathcal{E}_{\mathrm{B}} \cong \mathcal{E}_{+} \oplus \mathcal{E}_{-}$with $\mathcal{E}_{+} \oplus f^{*} \mathcal{E}_{-}$where $f: k \mapsto-k$ - Include symmetries


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- Include symmetries


## Topological Invariants

Then we immediately deduce

## Theorem

All topological invariants of class X are left invariant by the non-linear dynamics.

## Conclusion

## Covered in the talk today

## Part 1

## Classification of Linear PTIs

- Schrödinger formalism of electromagnetism
$\rightsquigarrow$ application of CAZ scheme for TIs
- Complete classification table in publication
- Ordinary material in class BDI (3 symmetries) $\rightsquigarrow$ different from time-reversal-invariant quantum systems!
$\rightsquigarrow$ each symmetry can be broken individually

Dynamics and Symmetries of Non-Linear PhCs

- For small coupling: existence and uniqueness of solutions
- Explicit expression of first non-linear response


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## Part 2

Dynamics and Symmetries of Non-Linear PhCs

- For small coupling: existence and uniqueness of solutions
- Explicit expression of first non-linear response


## Covered in the talk today

## Part 3

## Candidates for Relevant States

- Notion of non-linearly coupling modes which exist "generically"
- Cubic case: generic non-linearly coupling modes exist
- Quadratic case: generic non-linearly coupling modes exist $\rightsquigarrow$ outside of current theory


## Part 4

## Topological Invariants \& Non-Linear Dynamics

- How to prove stability of topological invariance in presence of non-linearities


## Thank you for your attention!

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