



## Quantum Mechanics

### Homework Problems

#### 59. The Landau hamiltonian

Consider  $H^A = (-i\nabla_x - A)^2$  in  $d = 2$  where  $A$  is a vector potential to the constant magnetic field  $B = \partial_{x_1}A_2 - \partial_{x_2}A_1 = \text{const.}$

(i) Show that the Landau vector potential

$$A_L(x) = B \begin{pmatrix} -x_2 \\ 0 \end{pmatrix}$$

is a vector potential to  $B = \text{const.}$

(ii) Show that  $A_L$  is gauge-equivalent to

$$A_s = \frac{B}{2} \begin{pmatrix} -x_2 \\ x_1 \end{pmatrix},$$

i. e. find a function  $\phi$  so that  $A_s = A_L + \nabla_x \phi$ .

(iii) Prove  $H^{A_s} = e^{+i\phi} H^{A_L} e^{-i\phi}$ .

(iv) Show that the Landau hamiltonian is unitarily equivalent to a shifted harmonic oscillator

$$H_{\text{osc}}(\hat{\xi}) := -\partial_x^2 + (B\hat{x} + \hat{\xi})^2$$

acting on a dense subset of  $L^2(\mathbb{R}^2)$ .

#### Solution:

(i)  $B(x) = \partial_{x_1}A_{L2} - \partial_{x_2}A_{L1} = -\partial_{x_2}(-Bx_2) = B$

(ii) The difference of the two vector potentials is

$$A_s(x) - A_L(x) = \frac{B}{2} \begin{pmatrix} -x_2 \\ x_1 \end{pmatrix} - B \begin{pmatrix} -x_2 \\ 0 \end{pmatrix} = \frac{B}{2} \begin{pmatrix} x_2 \\ x_1 \end{pmatrix}$$

and thus we can choose  $\phi(x) = \frac{B}{2}x_1x_2$ .

(iii)

$$\begin{aligned} e^{+i\phi} H^{A_L} e^{-i\phi} \psi &= e^{+i\frac{B}{2}x_1x_2} \left( (-i\partial_{x_1} + Bx_2)^2 - \partial_{x_2}^2 \right) (e^{-i\frac{B}{2}x_1x_2} \psi) \\ &= e^{+i\frac{B}{2}x_1x_2} e^{-i\frac{B}{2}x_1x_2} \left( (-i\partial_{x_1} + i^2 \frac{B}{2}x_2 + Bx_2)^2 + (-i\partial_{x_2} - \frac{B}{2}x_1)^2 \right) \psi \\ &= H^{A_s} \psi \end{aligned}$$

(iv) Start with  $H^{A_1}$  and define the partial Fourier transform

$$(\mathcal{F}_1 \psi)(\xi, x_2) = \frac{1}{\sqrt{2\pi}} \int_{\mathbb{R}} dx_1 e^{-i\xi x_1} \psi(x_1, x_2)$$

which acts only on  $x_1$ . Then we can reduce

$$\mathcal{F}_1 H^{A_1} \mathcal{F}_1^{-1} = -\partial_{x_2}^2 + (Bx_2 + \hat{\xi})^2 = H_{\text{osc}}(\hat{\xi})$$

to the shifted harmonic oscillator hamiltonian.

**60. Magnetic translations (17 points)**

Consider the magnetic Schrödinger operator  $H^A = (-i\nabla_x - A)^2$  on  $L^2(\mathbb{R}^3)$ . Moreover, define *magnetic translations*

$$(T_y^A \psi)(x) := e^{-i \int_{[x, x+y]} A} \psi(x+y)$$

where  $y \in \mathbb{R}^d$  and

$$\int_{[x, x+y]} A = \int_0^1 ds y \cdot A(x+sy)$$

is the magnetic circulation along the line-segment  $[x, x+y]$ .

- (i) Show the *kinetic momentum operator*  $P_j^A = -i\partial_{x_j} - A_j$  commutes with magnetic translations along the  $x_j$ -direction.
- (ii) Find the phase function  $e^{-i\omega(x,y,z)}$  so that

$$(T_y^A T_z^A \psi)(x) = e^{-i\omega(x,y,z)} (T_{y+z}^A \psi)(x).$$

Give a physical interpretation of  $\omega$ .

**Hint:** Use Stoke's Theorem.

- (iii) Do magnetic translations commute?

**Solution:**

- (i) We begin by computing

$$\begin{aligned} \partial_{x_j} \int_{[x, x+y]} A &\stackrel{[1]}{=} \partial_{x_j} \int_0^1 ds y_j A_j(x+sy) \stackrel{[1]}{=} \int_0^1 ds y_j \partial_{x_j} A_j(x+sy) \\ &\stackrel{[1]}{=} \int_0^1 ds \frac{d}{ds} A_j(x+sy) \stackrel{[1]}{=} A_j(x+y) - A_j(x). \end{aligned}$$

This will give the crucial cancellation in the following calculation:

$$\begin{aligned} (P_j^A T_y^A \psi)(x) &\stackrel{[1]}{=} \left( (-i\partial_{x_j} - A_j(\hat{x})) (T_y^A \psi) \right)(x) \\ &\stackrel{[1]}{=} (-i\partial_{x_j} - A_j(x)) \left( e^{-i \int_{[x, x+y]} A} \psi(x+y) \right) \\ &\stackrel{[1]}{=} e^{-i \int_{[x, x+y]} A} \left( -i\partial_{x_j} \psi(x+y) - A_j(x) \psi(x+y) \right. \\ &\quad \left. + i^2 (A_j(x+y) - A_j(x)) \psi(x+y) \right) \\ &\stackrel{[1]}{=} e^{-i \int_{[x, x+y]} A} \left( -i\partial_{x_j} - A_j(x+y) \right) \psi(x+y) \\ &\stackrel{[1]}{=} \left( T_y^A (-i\partial_{x_j} - A(\hat{x})) \psi \right)(x) \stackrel{[1]}{=} (T_y^A P_j^A \psi)(x) \end{aligned}$$

In other words, we have shown  $[T_y^A, P_j] = 0$ .

- (ii) We obtain the phase factor  $e^{-i\omega(x,y,z)}$  by executing the magnetic translations along  $y$  and  $z$ , and then adding and subtracting the missing phase factor so as to combine to a magnetic

translation by  $y + z$ :

$$\begin{aligned}
 (T_y^A T_z^A \psi)(x) &\stackrel{[1]}{=} e^{-i \int_{[x, x+y]} A} (T_z^A \psi)(x+y) \\
 &\stackrel{[1]}{=} e^{-i \int_{[x, x+y]} A} e^{-i \int_{[x+y, x+y+z]} A} \psi(x+y+z) \\
 &\stackrel{[1]}{=} e^{-i \int_{[x, x+y]} A} e^{-i \int_{[x+y, x+y+z]} A} e^{+i \int_{[x, x+y+z]} A} (T_{y+z}^A \psi)(x) \\
 &=: e^{-i \omega(x, y, z)} (T_{y+z}^A \psi)(x)
 \end{aligned}$$

The crucial point here is Stoke's theorem to convert the sum of the line integrals to an integral over the enclosed surface: concretely, the three line integrals trace the borders of the triangle  $\Delta(x, x+y, x+y+z)$  with corners  $x, x+y$  and  $x+y+z$ . Thus, the phase factor is the magnetic flux through the triangle,

$$\begin{aligned}
 \omega(x, y, z) &\stackrel{[1]}{=} \int_{[x, x+y]} A + \int_{[x+y, x+y+z]} A - \int_{[x, x+y+z]} A \\
 &\stackrel{[1]}{=} \int_{\Delta(x, x+y, x+y+z)} \nabla_x \times A \stackrel{[1]}{=} \int_{\Delta(x, x+y, x+y+z)} B.
 \end{aligned}$$

- (iii) No, because usually  $e^{-i \omega(x, y, z)} \neq e^{-i \omega(x, z, y)}$ , they are magnetic fluxes through triangles with different corners. [1]

### 61. Number of negative eigenvalues of a Schrödinger operator

Consider  $H(V) := -\Delta_x + V$ . Assume  $V$  and  $W$  are potentials so that (i)  $H(V)$  and  $H(W)$  define selfadjoint operators on a common domain  $\mathcal{D}$  and (ii)  $\sigma_{\text{ess}}(H(V)) = \sigma_{\text{ess}}(H(W)) = [0, +\infty)$ . Define  $E_n(V)$  for the operator  $H(V)$  as in Chapter 9.3.3.2 and let  $N(V)$  to be the number of negative eigenvalues of  $H(V)$ .

Show that  $V \leq W$  implies  $E_n(V) \leq E_n(W)$ ,  $n \in \mathbb{N}_0$ , as well as  $N(V) \geq N(W)$ .

**Solution:**

$V \leq W$  implies  $H(V) \leq H(W)$ . More precisely, for any  $\psi \in \mathcal{D}$  we have

$$\langle \psi, H(V)\psi \rangle \leq \langle \psi, H(W)\psi \rangle,$$

and consequently

$$\begin{aligned} E_n(V) &= \sup_{\substack{\varphi_1, \dots, \varphi_n \in \mathcal{D} \\ \langle \varphi_j, \varphi_k \rangle = \delta_{jk}}} \inf_{\substack{\psi \in \{\varphi_1, \dots, \varphi_n\}^\perp \\ \|\psi\|=1}} \langle \psi, H(V)\psi \rangle \\ &\leq \sup_{\substack{\varphi_1, \dots, \varphi_n \in \mathcal{D} \\ \langle \varphi_j, \varphi_k \rangle = \delta_{jk}}} \inf_{\substack{\psi \in \{\varphi_1, \dots, \varphi_n\}^\perp \\ \|\psi\|=1}} \langle \psi, H(W)\psi \rangle = E_n(W). \end{aligned}$$

The number of eigenvalues is defined as

$$N(V) = \{N \in \mathbb{N} \mid E_n(V) < 0 \ \forall n \leq N, \text{ and } E_n(V) = 0 \ \forall n > N\} \in \mathbb{N}_0 \cup \{+\infty\}.$$

Given that  $E_n(V) = 0$  necessarily implies  $E_n(W) = 0$  because the essential spectrum always starts at 0, and hence  $N(V) \geq N(W)$ .

## 62. Birman-Schwinger principle for potential without fixed sign

Consider the Schrödinger operator  $H = -\Delta_x + V$  for a potential that does not have a fixed sign, i. e.  $V \not\leq 0$ . Moreover, define the signed square root

$$V^{1/2}(x) := \operatorname{sgn}(V(x)) |V(x)|^{1/2}$$

and for  $E > 0$  the Birman-Schwinger operator

$$K_E := |V|^{1/2} (-\Delta_x + E)^{-1} V^{1/2}.$$

Show that  $H$  has an eigenvalue at  $-E$  if and only if  $K_E$  has an eigenvalue at  $-1$ . (The difference in sign is deliberate in order to conform to established sign conventions.)

### Solution:

Assume  $\psi$  is an eigenvector of  $H$  to  $-E$ . Then

$$H\psi = (-\Delta_x + V)\psi = -E\psi$$

is equivalent to

$$(-\Delta_x + E)\psi = -V\psi = -V^{1/2}\varphi$$

where we have defined  $\varphi := |V|^{1/2}\psi$ . As  $E > 0$ ,  $-E \notin \sigma(-\Delta_x) = [0, +\infty)$  and so the operator on the left-hand side is invertible. Bringing it to the other side and multiplying both sides by  $|V|^{1/2}$  yields

$$|V|^{1/2}\psi = \varphi = -|V|^{1/2} (-\Delta_x + E)^{-1} V^{1/2}\varphi.$$

Put another way,  $-E$  is an eigenvalue of  $H$  with eigenfunction  $\psi$  if and only if  $-1$  is an eigenvalue of  $K_E$  with eigenfunction  $\varphi = |V|^{1/2}\psi$ .