



Functionals

Homework Problems

63. The Abraham model (21 points)

The Abraham model describes a particle with a rigid charged density $\chi \in C^\infty(\mathbb{R}^3, \mathbb{R})$, $\int_{\mathbb{R}^3} dx \chi(x) = 1$, coupled to an electromagnetic field. Here, rigid means that the charge distribution does not change in time. Moreover, we define $\phi_\chi = \chi * \phi$ and $A_\chi = \chi * A$ to be the smoothed potentials obtained by convolving ϕ and the components of A with the charge density χ .

The Lagrange function of this system is

$$L(q(t), \dot{q}(t), A(t), \phi(t), \dot{A}(t), \dot{\phi}(t)) := L_p(q(t), \dot{q}(t), A(t), \phi(t)) + L_{\text{em}}(A(t), \phi(t), \dot{A}(t), \dot{\phi}(t))$$

which is comprised of the particle Lagrangian

$$L_p(x, v, A, \phi) = \frac{m}{2} v^2 - \phi_\chi(x) + v \cdot A_\chi(x)$$

and the field Lagrangian

$$L_{\text{em}}(A, \phi, \dot{A}, \dot{\phi}) = \frac{1}{2} \int_{\mathbb{R}^3} dx \left((-\partial_t A(t, x) - \nabla_x \phi(t, x))^2 - (\nabla_x \times A(t, x))^2 \right).$$

- (i) Verify that $\rho(t, x) = \chi(x - q(t))$ and $j(t, x) = \chi(x - q(t)) \dot{q}(t)$ satisfy charge conservation.
- (ii) Give the action functional associated to the Lagrange function L .
- (iii) Derive the Euler-Lagrange equations.
- (iv) Compute the equations of motion in terms of the electric field \mathbf{E} and magnetic field \mathbf{B} .

Hint: The equations of motion also involve $\mathbf{E}_\chi = \chi * \mathbf{E}$ and $\mathbf{B}_\chi = \chi * \mathbf{B}$.

Note: Coupling the Maxwell and the Newton equations for a particle with point charge $\chi = \delta$ leads to equations which are ill-defined, and it turns out to be necessary to smear out the charge over a small region.

The interested reader may read the discussion in Chapter 2–2.4 of Herbert Spohn’s book “Dynamics of Charged Particles”, Cambridge University Press, 2004.

Solution:

Unfortunately there was a sign mistake ($-A \cdot v$ rather than $+A \cdot v$ in L_p).

(i) We compute the time derivative of the charge density,

$$\frac{d}{dt}\rho(t, x) = \frac{d}{dt}\chi(x - q(t)) \stackrel{[1]}{=} -\nabla_x \chi(x - q(t)) \dot{q}(t),$$

and the divergence of the current density,

$$\nabla_x \cdot j(t, x) \stackrel{[1]}{=} \nabla_x \chi(x - q(t)) \dot{q}(t),$$

and see that they are equal up to a sign, $\partial_t \rho + \nabla_x \cdot j = 0$ [1].

(ii) $S(q, A, \phi) = \int_0^T dt \left(L_p(q(t), \dot{q}(t), A(t), \phi(t)) + L_{em}(A(t), \phi(t), \dot{A}(t), \dot{\phi}(t)) \right)$ [2]

(iii) Let us compute the Euler-Lagrange equations from first principles:

$$\begin{aligned} (dS(q, A, \phi))(h, a, \varphi) &= \\ &\stackrel{[1]}{=} \int_0^T dt \frac{d}{ds} \left(L_p(q(t) + s h(t), \dot{q}(t) + s \dot{h}(t), A(t) + s a(t), \phi(t) + s \varphi(t)) + \right. \\ &\quad \left. + L_{em}(A(t) + s a(t), \phi(t) + s \varphi(t), \dot{A}(t) + s \dot{a}(t), \dot{\phi}(t) + s \dot{\varphi}(t)) \right) \Big|_{s=0} \\ &\stackrel{[3]}{=} \int_0^T dt \left(\left(m \dot{q}(t) + A_\chi(t, q(t)) \right) \cdot \dot{h}(t) - \nabla_x \phi_\chi(t, q(t)) \cdot h(t) + \right. \\ &\quad \left. + \sum_{j=1}^3 \dot{q}_j(t) \nabla_x a_{\chi, j}(t, q(t)) \cdot h(t) - \varphi_\chi(t, q(t)) + \dot{q}(t) \cdot a_\chi(t, q(t)) \right) + \\ &\quad + \int_0^T dt \int_{\mathbb{R}^3} dx \left((-\partial_t A(t, x) - \nabla_x \phi(t, x)) \cdot (-\partial_t a(t, x) - \nabla_x \varphi(t, x)) + \right. \\ &\quad \left. - (\nabla_x \times A(t, x)) \cdot (\nabla_x \times a(t, x)) \right) \\ &\stackrel{*,[3]}{=} - \sum_{k=1}^3 \int_0^T dt \left(m \ddot{q}_k(t) + \partial_t A_{\chi, k}(t, q(t)) + \partial_{x_k} \phi_\chi(t, q(t)) + \right. \\ &\quad \left. + \sum_{j=1}^3 (\partial_{x_k} a_{\chi, j} - \partial_{x_j} a_{\chi, k})(t, q(t)) \dot{q}_j(t) \right) \cdot h_k(t) + \\ &\quad + \int_0^T dt \int_{\mathbb{R}^3} dx \left(-\varphi(t, x) \chi(x - q(t)) + \chi(x - q(t)) \dot{q}(t) \cdot a(t, x) \right) \cdot h(t) + \\ &\quad + \int_0^T dt \int_{\mathbb{R}^3} dx \left((-\partial_t^2 A(t, x) - \nabla_x \partial_t \phi(t, x) - \nabla_x \times \nabla_x \times A(t, x)) \cdot a(t, x) + \right. \\ &\quad \left. + \nabla_x \cdot (-\partial_t A(t, x) - \nabla_x \phi(t, x)) \varphi(t, x) \right) \end{aligned}$$

In the step marked with * we have plugged in the definition of $a_\chi = \chi * a$ and $\varphi_\chi = \chi * \varphi$ as a convolution with the charge cloud χ . By plugging in the definition of ρ, j, \mathbf{E} and \mathbf{B} , we obtain

a more concise expression:

$$\begin{aligned}
 \dots &\stackrel{[3]}{=} - \int_0^T dt \left(m \ddot{q}(t) - \mathbf{E}_\chi(t, q(t)) - \dot{q}(t) \times \mathbf{B}_\chi(t, q(t)) \right) \cdot h(t) + \\
 &+ \int_0^T dt \int_{\mathbb{R}^3} dx \left((\partial_t E(t, x) - \nabla_x \times \mathbf{B}(t, x) + j(t, x)) \cdot a(t, x) + \right. \\
 &\quad \left. + (\nabla_x \cdot \mathbf{E}(t, x) - \rho(t, x)) \varphi(t, x) \right) \\
 &\stackrel{!}{=} 0
 \end{aligned}$$

That means we obtain the following coupled Euler-Lagrange equations:

$$\begin{aligned}
 m \ddot{q}(t) &\stackrel{[1]}{=} \mathbf{E}_\chi(t, q(t)) + \dot{q}(t) \times \mathbf{B}_\chi(t, q(t)) \\
 \partial_t \mathbf{E} &\stackrel{[1]}{=} \nabla_x \times \mathbf{B} - j \\
 \nabla_x \cdot \mathbf{E} &\stackrel{[1]}{=} \rho
 \end{aligned}$$

- (iv) Clearly, the magnetic field $\nabla_x \cdot \mathbf{B} = \nabla_x \cdot (\nabla_x \times A) = 0$ [1] is divergence-free by definition. The equation of motion for \mathbf{B} can be derived just like in Chapter 10.1.4.2:

$$\begin{aligned}
 \partial_t \mathbf{B} &\stackrel{[1]}{=} \nabla_x \times \partial_t A = -\nabla_x \times (-\partial_t A - \nabla_x \phi) \\
 &\stackrel{[1]}{=} -\nabla_x \times \mathbf{E}
 \end{aligned}$$

64. Extrema under constraints

Consider the Schrödinger operator $H = -\Delta_x + V$ on \mathbb{R}^d and assume $\sigma_p(H) \neq \emptyset$.

Find the extremal points of the energy functional

$$\mathcal{E}(\psi) = \int_{\mathbb{R}^d} dx \left(|\nabla_x \psi(x)|^2 + V(x) |\psi(x)|^2 \right)$$

under the constraint

$$\mathcal{J}(\psi) = \int_{\mathbb{R}^d} dx |\psi(x)|^2 - 1 = 0.$$

Solution:

We start by computing the Gâteaux derivative of the energy functional,

$$\begin{aligned} (d\mathcal{E}(\psi))\varphi &= \int_{\mathbb{R}^d} dx \frac{d}{ds} \left(|\nabla_x \psi(x) + s \nabla_x \varphi(x)|^2 + V(x) |\psi(x) + s\varphi(x)|^2 \right) \Big|_{s=0} \\ &= \int_{\mathbb{R}^d} dx \left((\overline{\nabla_x \varphi(x)} \cdot \nabla_x \psi(x) + \overline{\nabla_x \psi(x)} \cdot \nabla_x \varphi(x)) + V(x) (\overline{\varphi(x)} \psi(x) + \overline{\psi(x)} \varphi(x)) \right) \\ &= 2\text{Re} \int_{\mathbb{R}^d} dx \overline{\varphi(x)} (-\Delta_x \psi(x) + V(x) \psi(x)), \end{aligned}$$

and the derivative of the constraint,

$$\begin{aligned} (d\mathcal{J}(\psi))\varphi &= \frac{d}{ds} \int_{\mathbb{R}^d} dx |\psi(x) + s\varphi(x)|^2 - 1 \Big|_{s=0} \\ &= \int_{\mathbb{R}^d} dx (\overline{\varphi(x)} \psi(x) + \overline{\psi(x)} \varphi(x)) \\ &= 2\text{Re} \int_{\mathbb{R}^d} dx \overline{\varphi(x)} \psi(x). \end{aligned}$$

Applying the method of Lagrange multipliers, we obtain the equation

$$\begin{aligned} (d\mathcal{E}(\psi))\varphi + \lambda (d\mathcal{J}(\psi))\varphi &= 2\text{Re} \int_{\mathbb{R}^3} dx \overline{\varphi(x)} (-\Delta_x \psi(x) + V(x) \psi(x) + \lambda \psi(x)) \\ &= 2\text{Re} \int_{\mathbb{R}^3} dx \overline{\varphi(x)} (-\Delta_x + V(x) + \lambda) \psi(x) \stackrel{!}{=} 0. \end{aligned}$$

That means

$$H\psi = -\lambda \psi,$$

and thus, any eigenvector ψ to E_ψ satisfies the equation for $\lambda = -E_\psi$.

The minimizer is obviously the ground state.